

Notice of References Cited

Application/Control No.

10/766,315

Applicant(s)/Patent Under
Reexamination
JONES ET AL.

Examiner

ANDREW A. VEZERIS

Art Unit

4172

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number	Date	Name	Classification
		Country Code-Number-Kind Code	MM-YYYY		
	A	US-			
	B	US-			
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	"Internal Revenue Service Issues Guidance on Popular Equity-Linked Financial Products" by Shearman & Sterling LLP 2003 http://shearman.jp/files/Publication/fa63fc75-ccb5-4563-b9ec-5c1496b18142/Presentation/PublicationAttachment/cb609159-1568-4749-81c2-73a90da85f55/TAX_0703.pdf
	V	"Finance: New Basel Guidelines May Reduce Bank Corporate Governance" By sunonline 1998□□ http://www.sunonline.org/trade/process/lookup/1998/10290198.htm
	W	"Information to Evaluate an Adjustable Rate Mortgage" By mtgprofessor 2003□□ http://www.mtgprofessor.com/A%20-%20ARMs/information_needed_to_evaluate_an_arm.htm
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